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KENTUCKY LEGISLATIVE ETHICS COMMISSION

ECEI 42

FEB 1 5 2016

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

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☐ Check here and attach additional sheets if necessary Number of sheets attached.
Please Include The Following Information For The Preceding Calendar Year:
Name Charles Broker
Business address
Business telephone
Home address 113 Fontaine Landin C.t.
Home address 113 Fontaine Landing Ct. Title of public position, or office sought KY State Senator, 33rd District
Other occupations of filer Director of Strategic Partnerships, Seed Capital KY
Other occupations of filer Director of Strategic Partnerships, Seed Capital KY Occupations of spouse Accts Receivable, Park Duralle Health Center
NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.
Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation <u>Director of Strategic Partnerships</u> , <u>Seed</u> <u>Capital KY (non-profit corp)</u>
•
Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation Accounts Recurshle Specialist, Park Dualle Health Center
·
Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more \(\int\mathcal{P}\)

Salary troi	M Sped Capital KN - Oct 2015 - Present
Salary for KY Dept. o	n Seed Capital KY - Oct 2015 - Present m Louisville metro Government - May 2015 - Oct Fish + Wildlife Salary - Jan 2015 - April 2015
	of gross income of the filer's spouse (list sources by name) n fork Duvolle Heath Center
Positions of a fide	sciary nature in a business
L .	
The state of the s	
other than the file	commercial, residential, or rural, and the location of all real property r's primary residence, in which there is an interest of \$10,000 or more iler's spouse, or filer's minor children $\triangle A$
other than the file	commercial, residential, or rural, and the location of all real property r's primary residence, in which there is an interest of \$10,000 or more

The name of any creditor owed more than \$10,000 except debts arising from the purchase		
of consumer goods. (Goods used or bought for use primarily for personal, family, or		
household purposes). Towne Mortgage Company		
· One Main Financial - personal loan		
. KY Student Loan Corp Student loan		
Fedfoan Servicing - Student Loan		
. J		
The name of any legislative agent who is:		
1. A member of the filer's immediate family;		
2. A partner of the filer, or a partner of a member of the filer's immediate family;		
3. An officer or director of the filer's employer;		
4. An employer of the filer or an employer of a member of the filer's immediate		
family;		
5. A business associate of the filer or a business associate of a member of the		
filer's immediate family		
$$ ΩA		
The names of any of the filer's clients who are legislative agents or employers		
If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? Yes No Not Applicable		
Francis		

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If yes, list the names of the clients partner made an appearance. The before a specific agency.	represented and list the agencies before which the filer need not identify which client was represented
Clients	
NA	
State Agency	•
NA	
	NOTICES
1. Upon receipt by the Commiss record available for copying.	ion, a statement of financial disclosure shall be a public
2. Any person who fails to file a a deficiency identified by the Conto exceed \$100 per day up to a material state.	statement of financial disclosure or who fails to remedy nmission in a timely manner may be fined an amount not eximum total fine of \$1000.
3. Any person who files a statem false information, or to omit required misdemeanor.	ent of financial interests which they know to contain ired information, shall be guilty of a class A
2/13/2016 Date	Filer P.
Send completed statements to:	The Kentucky Legislative Ethics Commission 22 Mill Creek Park Frankfort, Kentucky 40601 FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.